



PATENT
ATTORNEY DOCKET: 72167.000478

1FW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 10/754,700 Confirmation No.: 9092
Applicant : Andrew F. Gelson
Filed : January 12, 2004
Title : LIKE-KIND EXCHANGE METHOD
TC/Art Unit : 3622
Examiner: : Unassigned

Docket No. : 72167.000478
Customer No. : 21967

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, applicants submit attached Form PTO-SB/08A (modified) for consideration and request the references cited therein be made of record by the U.S. Patent and Trademark Office in the above-captioned application.

In accordance with 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. patents and/or U.S. patent application publications for national stage applications under 35 U.S.C. 371, are not being provided.

Applicants respectfully point out that the submission of the listed references in this Information Disclosure Statement is not an admission that they are prior art or that they are material to patentability of any claims of the application. Also, the submission of this Information Disclosure Statement is not an indication that a search has been made by Applicants.

For the convenience of the Examiner in considering the cited references, a copy of each of the cited references is enclosed with this communication. In considering the cited references, it may be noted by the Examiner that certain of the references may contain markings, underlinings, and/or other notations. These markings, underlinings, and/or other notations are not

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.E./

Submission of Information Disclosure Statement
Application No. 10/754,700

to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the cited references. Any such markings were either present on the copies of the cited references obtained by the associated individuals, or were made thereon during the study of the references by the associated individuals.

As this application was filed after June 30, 2003, copies of U.S. patents and/or U.S. patent application publications for national stage applications under 35 U.S.C. 371 cited on the attached Form PTO-SB/08A (modified), are not being provided as specified in 1276 *O.G.* 55 (5 August 2003).

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form SB/08A with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

In accordance with 37 C.F.R. § 1.97(b), this Information Disclosure Statement is believed to be submitted prior to issuance of a first Office Action and within three months of the filing date of the application. Therefore, it is respectfully submitted that no fee is required for consideration of this information. However, in the event any fee is deemed necessary, the Commissioner is authorized to charge the undersigned's Deposit Account No. 50-0206.

Submission of Information Disclosure Statement
Application No. 10/754,700

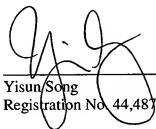
In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any difference to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS LLP

Dated: August 24, 2005

By:



Yisun Song
Registration No. 44,487

Hunton & Williams LLP
Intellectual Property Department
1900 K Street, N.W.
Suite 1200
Washington, DC 20006
(202) 955-1500 (telephone)
(202) 778-2201 (facsimile)
YSS/vrp



INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10754,700
		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	1 of 13	Attorney Docket Number	72167.000478

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/B.E./	1.	US- 2001/0011241	08/02/2001	Nemzow	
/B.E./	2.	US- 2001/0025264	09/27/2001	Deaddio	
/B.E./	3.	US- 2001/0032217	10/18/2001	Huang	
	4.	US- 2001/0042034	11/15/2001	Elliott	
	5.	US- 2001/0043235	11/22/2001	Best et al.	
	6.	US- 2001/0044771	11/22/2001	Usher	
	7.	US- 2001/0056398	12/27/2001	Scheirer	
	8.	US- 2002/0002530	01/03/2002	May	
	9.	US- 2002/0004777	01/10/2002	Foster	
	10.	US- 2002/0007335	01/17/2002	Milard	
	11.	US- 2002/0007358	01/17/2002	Johnson et al.	
	12.	US- 2002/0013753	01/31/2002	Marke	
	13.	US- 2002/0013862	01/31/2002	Orchard	
	14.	US- 2002/0016762	02/07/2002	Feilbogen	
	15.	US- 2002/0016819	02/07/2002	Sugimoto	
	16.	US- 2002/0018077	02/14/2002	Powlette	
	17.	US- 2002/0022966	02/21/2002	Ukraininsky et al.	
	18.	US- 2002/0023053	02/21/2002	Szoc	
	19.	US- 2002/0026405	02/28/2002	Haar	
	20.	US- 2002/0026449	02/28/2002	Azencott	
	21.	US- 2002/0026462	02/28/2002	Shotten Jr. et al.	
	22.	US- 2002/0032644	03/14/2002	Corby	
EXAMINER SIGNATURE				DATE CONSIDERED	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.E./

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/754,700
		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	2 of 13	Attorney Docket Number	72167.000478

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	23.	US- 2002/0035561	03/21/2002	Archer et al.	
	24.	US- 2002/0042767	04/11/2002	Kwan	
	25.	US- 2002/0049666	04/25/2002	Reuter	
	26.	US- 2002/0054115	05/09/2002	Mack et al.	
	27.	US- 2002/0059141	05/16/2002	Davies	
	28.	US- 2002/0065752	05/30/2002	Lewis	
	29.	US- 2002/0069157	06/06/2002	Jordan	
	30.	US- 2002/0073007	06/13/2002	Ayache	
	31.	US- 2002/0078253	06/20/2002	Szondy	
	32.	US- 2002/0087373	07/04/2002	Dickstein	
	33.	US- 2002/0087454	07/04/2002	Zalo	
	34.	US- 2002/0087455	07/04/2002	Tsagarakis	
	35.	US- 2002/0087457	07/04/2002	Madelay	
	36.	US- 2002/0099646	07/25/2002	Agarwal	
	37.	US- 2002/0099656	07/25/2002	Wong	
	38.	US- 2002/0130868	09/19/2002	Smith	
	39.	US- 2002/0138390	12/26/2002	May	
	40.	US- 2002/0152154	10/17/2002	Rothman	
	41.	US- 2002/0152156	10/17/2002	Tyson-Quah	
	42.	US- 2002/0156658	10/24/2002	Selesny et al.	
	43.	US- 2002/0161692	10/31/2002	Loh	
	44.	US- 2002/0169707	11/14/2002	Koek	

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/754,700
		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	3 of 13	Attorney Docket Number	72167.000478

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	45.	US- 2002/0184237	12/05/2002	McFeely	
	46.	US- 2002/0194097	12/19/2002	Reitz	
	47.	US- 2003/0004942	01/02/2003	Bird	
	48.	US- 2002/0009411	01/09/2003	Ram	
	49.	US- 2003/0018714	01/23/2003	Mikhailov	
	50.	US- 2003/0033212	02/13/2003	Sandhu	
	51.	US- 2003/0037174	02/20/2003	Lavin	
	52.	US- 2003/0065594	04/03/2003	Murphy	
	53.	US- 2003/0066025	04/03/2003	Garner et al.	
	54.	US- 2003/0066032	04/03/2003	Ramachandran et al.	
	55.	US- 2003/0078869	04/24/2003	Williams	
	56.	US- 2003/0088496	05/08/2003	Plotrowski	
	57.	US- 2003/0093360	05/15/2003	May	
	58.	US- 2003/0093362	05/15/2003	Tupper	
	59.	US- 2003/0093565	05/15/2003	Berger et al.	
	60.	US- 2003/0105981	05/05/2003	Miller	
	61.	US- 2003/0115122	06/19/2003	Slater	
	62.	US- 2003/0126063	07/03/2003	Reuter	
	63.	US- 2003/0126068	07/03/2003	Hauk	
	64.	US- 2003/0126069	07/03/2003	Cha	
	65.	US- 2003/0126117	07/03/2003	Megiddo et al.	
	66.	US- 2003/0140035	07/24/2003	Burrows	
	67.	US- 2003/0149653	08/07/2003	Penny	
	68.	US- 2003/0154071	08/14/2003	Shreve	

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.E./

Substitute for form 1449A/PTO		Application Number	10/754,700
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
		Attorney Docket Number	72167.000478
Sheet	4 of 13		

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	69.	US- 2003/0158949	08/21/2003	Miller	
	70.	US- 2003/0188255	10/02/2003	Shimizu et al.	
	71.	US- 2003/0220861	11/27/2003	Broms et al.	
	72.	US- 2003/0220868	11/27/2003	May	
	73.	US- 2003/0233459	12/18/2003	Miller	
	74.	US- 2003/0236862	12/25/2003	Miller	
	75.	US- 2003/0236957	12/25/2003	Miller	
	76.	US- 2004/0064397	04/01/2004	Lynn	
	77.	US- 2004/0078248	04/22/2004	Altachuler	
	78.	US- 2004/0103003	05/27/2004	Mayers et al.	
	79.	US- 2004/0148247	07/29/2004	Miller	
	80.	US- 2004/0162775	08/19/2004	Winklevoss et al.	
	81.	US- 2005/0060256	03/17/2005	Peterson et al.	
	82.	US- 2005/0086170	04/21/2005	Rao	
	83.	US- 4648038	03/03/1987	Roberts	
	84.	US- 4739478	04/19/1988	Roberts et al.	
	85.	US- 4742457	05/03/1988	Leon	
	86.	US- 4752877	06/21/1988	Roberts	
	87.	US- 4933842	06/12/1990	Durbinet et al.	
	88.	US- 5257369	10/26/1993	Skeen	

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/754,700
		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	5 of 13	Attorney Docket Number	72167.000478

U.S. PATENT DOCUMENTS

	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	89.	US- 5270922	12/14/1993	Higgins	
	90.	US- 5297032	03/22/1994	Trojan et al.	
	91.	US- 5305200	04/19/1994	Hartheimer	
	92.	US- 5497317	03/05/1996	Hawkins et al.	
	93.	US- 5517406	05/14/1996	Harris et al.	
	94.	US- 5557798	09/17/1996	Skeen	
	95.	US- 5592379	01/07/1997	Finrock	
	96.	US- 5649186	07/15/1997	Ferguson	
	97.	US- 5706502	01/06/1998	Foley	
	98.	US- 5710889	01/20/1998	Clark et al.	
	99.	US- 5724593	03/3/1998	Hargrave III et al.	
	100.	US- 5787402	07/07/1998	Datman et al.	
	101.	US- 5787402	07/28/1998	Potter	
	102.	US- 5940810	08/17/1998	Traub	
	103.	US- 5944784	08/31/1998	Simonoff et al.	
	104.	US- 5806047	09/08/1998	Hackel et al.	
	105.	US- 5806048	09/08/1998	Kiron	
	106.	US- 5819273	10/06/1998	Vora et al.	
	107.	US- 5832461	11/03/1998	Leon	
	108.	US- 5845266	12/01/1998	Lupien	
	109.	US- 5864527	01/26/1999	Wilson	
	110.	US- 5890140	03/30/1999	Clark	
	111.	US- 5913214	06/15/1999	Madnick et al.	
	112.	US- 5922044	07/13/1999	Banthia	

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.E./

Substitute for form 1449A/PTO		Application Number	10/754,700
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	6 of 13	Attorney Docket Number	72167.000478

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	113.	US- 5918218	06/29/1999	Harris et al.	
	114.	US- 5946667	08/31/1999	Tull, Jr. et al.	
	115.	US- 5950176	09/07/1999	Keiser	
	116.	US- 5966531	10/12/1999	Skeen	
	117.	US- 5970479	10/19/1999	Shepherd	
	118.	US- 5995943	11/30/1999	Bull et al.	
	119.	US- 6012046	01/04/2000	Lupien	
	120.	US- 6018714	01/25/2000	Risen Jr.	
	121.	US- 6029146	02/22/2000	Hawkins	
	122.	US- 6029147	02/22/2000	Horadan	
	123.	US- 6401080	06/04/2002	Bigus	
	124.	US- 6049783	04/11/2000	Segal	
	125.	US- 6052673	04/18/2000	Leon	
	126.	US- 6055522	04/25/2000	Krishna	
	127.	US- 6058378	05/02/2000	Clark	
	128.	US- 6061662	05/09/2000	Makivic	
	129.	US- 6078914	06/20/2000	Redfern	
	130.	US- 6081774	06/27/2000	de Hita et al.	
	131.	US- 6088685	07/11/2000	Kiron	
	132.	US- 6092056	07/18/2000	Tull Jr. et al.	
	133.	US- 6105005	08/05/2000	Fuhrer	
EXAMINER SIGNATURE				DATE CONSIDERED	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO		Application Number	10/754,700
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	7 of 13	Attorney Docket Number	72167.000478

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	134.	US- 6131082	10/10/2000	Hargrave III et al.	
	135.	US- 6134600	10/17/2000	Liu	
	136.	US- 6148298	11/14/2000	LaStrange et al.	
	137.	US- 6173270	01/09/2001	Cristofich	
	138.	US- 6173276	01/09/2001	Kant	
	139.	US- 6178420	01/23/2001	Sassano	
	140.	US- 6185567	02/06/2001	Katnaraj et al.	
	141.	US- 6195647	02/27/2001	Marten	
	142.	US- 6226623	05/01/2001	Schein	
	143.	US- 6233566	05/15/2001	Levine	
	144.	US- 6236972	05/22/2001	Shkedy	
	145.	US- 6236977	05/22/2001	Verba	
	146.	US- 6243670	06/05/2001	Bessho et al.	
	147.	US- 6260021	07/10/2001	Wong	
	148.	US- 6263321	07/17/2001	Daughtery	
	149.	US- 6263335	07/17/2001	Paik et al.	
	150.	US- 6272474	08/07/2001	Garcia	
	151.	US- 6278982	08/21/2001	Korhammer	
	152.	US- 6282537	08/28/2001	Madnick et al.	
	153.	US- 6285986	09/04/2001	Andrews	
	154.	US- 6285989	09/04/2001	Shoham	
	155.	US- 6304858	10/16/2001	Mosler	
EXAMINER SIGNATURE				DATE CONSIDERED	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/754,700
		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	8 of 13	Attorney Docket Number	72167.000478

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	156.	US- 6313854	11/06/2001	Gibson	
	157.	US- 6317726	11/13/2001	O'Shaughnessy	
	158.	US- 6317728	11/13/2001	Kane	
	159.	US- 6321212	11/20/2001	Lange	
	160.	US- 6323881	11/27/2001	Broulik	
	161.	US- 6332168	12/18/2001	Gowman-Amuah	
	162.	US- 6338055	01/08/2002	Hagmann	
	163.	US- 6338068	01/08/2002	Curtis et al.	
	164.	US- 6343287	01/29/2002	Kumar	
	165.	US- 6347307	02/12/2002	Sandhu	
	166.	US- 6349291	02/19/2002	Varma	
	167.	US- 6356933	03/12/2002	Mitchell	
	168.	US- 6360210	03/19/2002	Wallman	
	169.	US- 6366908	04/02/2002	Chong et al.	
	170.	US- 6381585	04/30/2002	Maples	
	171.	US- 6381586	04/30/2002	Glasserman	
	172.	US- 6385668	05/07/2002	Griesemer	
	173.	US- 6389413	05/14/2002	Takahashi et al.	
	174.	US- 6389452	05/14/2002	Glass	
	175.	US- 6408282	06/18/2002	Buist	
	176.	US- 6418417	07/09/2002	Corby	
	177.	US- 6421653	07/16/2002	May	
	178.	US- 6424980	07/23/2002	Iizuka et al.	
EXAMINER SIGNATURE				DATE CONSIDERED	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/754,700
		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	9 of 13	Attorney Docket Number	72167.000478

U.S. PATENT DOCUMENTS					
Examiner Initials	Date	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	179.	US- 6442545	08/27/2002	Feldman et al.	
	180.	US- 6446110	09/03/2002	Lecton	
	181.	US- 6457066	09/24/2002	Mein	
	182.	US- 6480882	11/12/2002	McAdam	
	183.	US- 6489954	12/03/2002	Powlette	
	184.	US- 6490584	12/03/2002	Barrett et al.	
	185.	US- 6510406	01/21/2003	Marchisio	
	186.	US- 6516303	02/04/2003	Wallman	
	187.	US- 6516308	02/04/2003	Cohen	
	188.	US- 6523022	02/18/2003	Hobbs	
	189.	US- 6556987	04/29/2003	Brown et al.	
	190.	US- 6564250	05/23/2003	Nguyen	
	191.	US- 6581056	06/17/2003	Rao	
	192.	US- 6581062	06/17/2003	Draper et al.	
	193.	US- 6598028	07/22/2003	Sullivan	
	194.	US- 6601044	07/29/2003	Wallman	
	195.	US- 6617825	08/26/2003	Billheimer et al.	
	196.	US- 6629097	09/30/2003	Keith	
	197.	US- 6631373	10/07/2003	Otani et al.	
	198.	US- 6633868	10/14/2003	Min et al.	
		US-			
		US-			
EXAMINER SIGNATURE				DATE CONSIDERED	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.E./

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	10/754,700
Filing Date	January 12, 2004
First Named Inventor	Andrew F. Gelson
Art Unit	3622
Examiner Name	Unassigned
Attorney Docket Number	72167.000478

Sheet **11 of 13**

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
	215.	MANCO et al., A Framework For Adaptive Mail Classification, 14 th IEEE Conference on Tools with Artificial Intelligence (ICTAI '02), p. 387, 11/04/2002	<input type="checkbox"/>	<input type="checkbox"/>
	216.	SILVERMAN, A new strategy for giving away your money, Wall Street Journal, D1, 10/06/2004	<input type="checkbox"/>	<input type="checkbox"/>
	217.	CZEJDO, Automatic generation ontology based annotations in XML and their use in retrieval systems, Web Information Systems Engineering, 2000, Proceedings of the First International Conference, p. 296, 06/19/2000	<input type="checkbox"/>	<input type="checkbox"/>
	218.	Beginning of Viewing Information and Viewing Basic Information About a Print Job, Novell, Inc., 06/01/1993	<input type="checkbox"/>	<input type="checkbox"/>
	219.	Block Trades Market Practice, Securities Market Practice Group, pp. 1-10, 04/01/2003	<input type="checkbox"/>	<input type="checkbox"/>
	220.	CHACKO, Cephalon, Inc. Taking Risk Management Theory Seriously, Journal of Financial Economics, Vol. 60, No. 2-3, 05/01/2001	<input type="checkbox"/>	<input type="checkbox"/>
	221.	KUS, Contingent capital: just in the capital management sets a new standard; Sponsored statement, Corporate Finance, p. 20, 10/01/2002	<input type="checkbox"/>	<input type="checkbox"/>
	222.	Electronic Trading Tools, earlier than 08/29/2003	<input type="checkbox"/>	<input type="checkbox"/>
	223.	Fast E-mail Extractor 4.4, www.lencon.com/FEE.html, 09/02/2003	<input type="checkbox"/>	<input type="checkbox"/>
	224.	Intelliseek and Inxight Partner to Provide New Business Intelligence Solutions, www.inxight.com/news/021029_intelliseek.html, 10/29/2002	<input type="checkbox"/>	<input type="checkbox"/>
	225.	Investigating Systems, 10/21/2002	<input type="checkbox"/>	<input type="checkbox"/>

EXAMINER SIGNATURE

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.E./

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	10/754,700
Filing Date	January 12, 2004
First Named Inventor	Andrew F. Gelson
Art Unit	3622
Examiner Name	Unassigned
Attorney Docket Number	72167.000478

Sheet 12 of 13

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
	226.	MAY, Modeling and Querying Structure and Contents of the Web, 10 th International Workshop on Database & Amp; Expert Systems Applications, p. 721, 09/01/1999	<input type="checkbox"/>	<input type="checkbox"/>
	227.	RUPALI et al., Phrase-based Text Representation for Managing the Web Documents, International Conference on Information Technology; Computers on Communication, p. 165, 04/28/2003	<input type="checkbox"/>	<input type="checkbox"/>
	228.	LAM et al., Querying Web Data - The WebQA Approach, The Third International Conference on Web Information Systems Engineering (WISE '00), p. 139, 12/12/2002	<input type="checkbox"/>	<input type="checkbox"/>
	229.	Rising to the Challenge of Global STP, Euromoney Publications PLC Global Investor, Issn 0951-3604, pp. 51-52, 11/01/1999	<input type="checkbox"/>	<input type="checkbox"/>
	230.	Softwerx releases patent-pending, Ericson, 11/15/2002	<input type="checkbox"/>	<input type="checkbox"/>
	231.	STP in the Bond Market, Wall Street Journal & Technology, p. 20, 12/01/2002	<input type="checkbox"/>	<input type="checkbox"/>
	232.	Strict Read Order Control for a Queuing System, IBM Corporation, 03/01/2002	<input type="checkbox"/>	<input type="checkbox"/>
	233.	CARCHIOLO et al., Structuring the Web, 11 th International Workshop on Database and Expert Systems Applications (DEXA '00), p. 1123, 09/06/2000	<input type="checkbox"/>	<input type="checkbox"/>
	234.	WIPITEN et al., Text Mining: A New Frontier for Lossless Compression, Data Compression Conference (DCC '99), p. 198, 03/29/1999	<input type="checkbox"/>	<input type="checkbox"/>
	235.	FAN et al., The Internet and Future Financial Markets; Industry Trend or Event, Association for Computing Machinery, Inc., Sec. 11, Vol. 43, Issn 001-0782, p. 83, 11/01/2000	<input type="checkbox"/>	<input type="checkbox"/>

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number 10754,700

Filing Date January 12, 2004

First Named Inventor Andrew F. Gelson

Art Unit 3622

Examiner Name Unassigned

Sheet 13 of 13

Attorney Docket Number 72167.000478

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
	236.	SALADO, The Web-DL Environment for Building Digital Libraries from the Web, 2003 Joint Conference on Digital Libraries (JCDL '03), p. 346, 05/27/2003	<input type="checkbox"/>	<input type="checkbox"/>
	237.	RIBIERO-NETO et al., Top Down Extraction of Semi-Structured Data, String Processing and Information Retrieval Symposium & Amp, International Workshop on Groupware, p. 176, 09/21/1999	<input type="checkbox"/>	<input type="checkbox"/>
	238.	TradeWeb's STP Vision, Euromoney Institutional Investor PLC, Sec. 406, Vol. 34, Issn 0014-2433, 02/01/2003	<input type="checkbox"/>	<input type="checkbox"/>
	239.	ROBERTS, Top Priority on Bottom Line: More Companies Seeking to Manage Business Risks, Business Insurance, p. 3, 03/20/2000	<input type="checkbox"/>	<input type="checkbox"/>
	240.	ELKAYAM, Using Indexed Bonds, 4/11/2002	<input type="checkbox"/>	<input type="checkbox"/>
	241.	MYLLYMAKI, With Standard XML Technologies, IBM Almaden Research Center; www10.org/cdrom/papers/102/; (Abstract), 05/02/2001	<input type="checkbox"/>	<input type="checkbox"/>
	242.	x4queview.org, Hewlett-Packard, 03/02/1992	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.